

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: C. Keith Attorney Docket No.: 125462  
Application No.: 09/801848 Art Unit: 3693 / Confirmation No.: 1156  
Filed: March 8, 2001 Examiner: K.L. Bartley  
Title: PLATFORM FOR MARKET PROGRAMS AND TRADING PROGRAMS

AMENDMENT SUBMITTED WITH RCE

Seattle, Washington 98101

October 2, 2009

TO THE COMMISSIONER FOR PATENTS:

INTRODUCTORY COMMENTS

Please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of the claims, which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 19 of this paper.

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